## Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

## **Data for the month ending May 2022:**

S	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pending for less than 3 months	Pendin g for more than 3 months	
1	2	3	4	5	6		7	8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil		Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil		Nil
3	Stock Exchang es	Nil	Nil	Nil	Nil	Nil		Nil

4	Other	Nil	Nil	Nil	Nil	Nil	Nil
	Sources						
	(if any)						
5	Grand	Nil	Nil	Nil	Nil	Nil	Nil
	Total						

## **Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	March 2022	Nil	Nil	Nil	Nil
2	April 2022	Nil	Nil	Nil	Nil
3	May 2022	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## <u>Trend of annual disposal of complaints</u>

SN	Year	Carried Forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	
	Grand Total				